

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): R. Scott LIST et al.

Serial No.: Divisional of Application No. 10/066,668
filed on February 6, 2002

Filed: July 7, 2003

For: A BARRIER STRUCTURE AGAINST CORROSION AND CONTAMINATION
IN THREE-DIMENSIONAL (3-D) WAFER-TO-WAFER VERTICAL STACK

Group: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 & §1.98

Assistant Commissioner of Patents
Washington, D.C. 20231

July 7, 2003

Sir:

In the matter of the above-identified application, this Information Disclosure Statement is being submitted with the following citation as specified in 37 CFR §1.97(d).

"A copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. §120."

Applicant(s) are submitting herewith a copy of Form PTO-1449 which list documents cited in parent application(s) Serial No. 10/066,668, filed February 6, 2002.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit

Account No. 01-2135 (219.40603VX1) please credit any excess fees to such deposit account.

Respectfully submitted,


Hung H. Bui
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HHB/slh

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				<p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>Divisional of Application No. 10/066,668</td> </tr> <tr> <td>Filing Date</td> <td>July 7, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>R. Scott LIST</td> </tr> <tr> <td>Art Unit</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> </table>		Application Number	Divisional of Application No. 10/066,668	Filing Date	July 7, 2003	First Named Inventor	R. Scott LIST	Art Unit	Not Yet Assigned	Examiner Name	Not Yet Assigned
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				219.40603VX1											

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	2		

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

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